GAU3738





PTO/SB/21 (08-00)

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| TRANSMITTAL  |  | Application Number   | 09/776,394                    |   |
|--|--|--|-------------------------------|---|
|  |  | Filing Date  | February 2, 2001              |   |
| FORM   |  |  | First Named Inventor          | Bryan   |
| (to be used for all correspondence after initial filing)   |  | Group Art Unit   | 3738                          |   |
|  |  | Examiner Name  |                               |   |
| Total Number of Pages in This Submission   |  |  | Attorney Docket Number        | 46739/252388  |
|  |  | ENCL   | OSURES (check all that apply) |   |
| Fee Transmittal Form   |  | Assignment Papers (for an Application)                         |                               | After Allowance Communication to Group                            |
| Fee Attached   |  | ☐ Drawing(s)   |                               | Appeal Communication to Board of Appeals and Interferences        |
| Amendment / Response   |  | Licensing-related Papers                                       |                               | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| After Final  |  | Petition   |                               | Proprietary Information   |
| ☐ Affidavits/declaration(s)  |  | Petition to Convert to a Provisional Application               |                               | Status Letter   |
| Extension of Time Request  |  | Power of Attorney, Revocation Change of Correspondence Address |                               | Other Enclosure(s) (please identify below):                       |
|  |  | ☐ Terminal Disclaimer  |                               | Form PTO 1449 (4 sheets)  |
| Express Abandonment Request  |  | Request for Refund   |                               | 92 publications   |
| Information Disclosure Statement   |  | CD, Number of CD(s)  |                               | Return Receipt Postcard   |
| Certified Copy of Priority Document(s)   |  | Rema   | rks                           | ,*  |
| Response to Missing Parts/ Incomplete Application  |  |  |                               | :   |
| Response to Missing Parts under 37 CFR 1.52 or 1.53  |  |  |                               |   |
|  | SIGNA  | TURE OF  | APPLICANT, ATTORNEY, O        | RAGENT  |
| Firm<br><i>or</i><br>Individual name   | Kristin D. Mallatt, F<br>Kilpatrick Stockton | -  | 895                           |   |
| Signature  | Kristin                                      | 2Ma  | lead                          |   |
| Date   | Kristin<br>10/3/0                            | )/   |                               |   |
|  |  |  | RTIFICATE OF MAILING          |   |
|  |  |  |                               | tal Service as first class mail in an envelope                    |
|  |  |  | n, D.C. 20231 on this date:   | 004.3,2001  |
| Signature  Signature |  |  |                               |   |
| Signature Imply Hunda 10/3/07  |  |  |                               |   |

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## I THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Bryan et al

Serial No.:

09/776,394

Examiner:

Filed:

February 2, 2001

Group Art Unit: 3738

1 #2 S. Buyer 10/12/01

For:

**HUMAN SPINAL DISC PROSHTESIS** 

Commissioner for Patents Washington, D.C. 20231

Attorney Docket No. 46739/252388

Date: October 3, 2001

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), enclosed is a copy of the reference listed on the attached modified Form PTO-1449.

The undersigned does not concede that any of the identified materials constitute prior art within the meaning of the United States patent laws.

## **CERTIFICATE OF MAILING (37 CFR 1.8a)**

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on this 3 day of October, 2001 with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Emily Ouida



U.S.S.N.: 09/776,394 Filed: February 2, 2001

Information Disclosure Statement

This Information Disclosure Statement is being filed before the mailing of the first Office Action on the merits (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be required.

The Commissioner is hereby authorized to charge the applicable fees, if any, to Deposit Account No. 11-0855.

Respectfully submitted,

Kristin D. Mallatt
Reg. No. 46,895

ATTORNEY FOR ASSIGNEE

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The "Received" stamp of the Patent Office imprinted hereon will acknowledge receipt of:

| Applicants:                          | _Bryan et al     | Docket No.:<br>46739/252388 |
|--------------------------------------|------------------|-----------------------------|
| Application No. Filing Date          | 09/776,394       |                             |
| PAPERS SUBMIT                        | February 2, 2001 |                             |
| <ol> <li>Transmittal Form</li> </ol> | n (PTO/SB/21)    |                             |
| <ol><li>Certificate of Ma</li></ol>  | iling            |                             |
| <ol><li>Information Disc</li></ol>   | losure Statement |                             |
| 4. Form PTO 1449                     | (4 sheet)        |                             |
| <ol><li>92 publications</li></ol>    |                  |                             |

By: Date: Kristin D. Mallatt, Reg. No. 46,895 October 3, 2001